

David Gardiner & Associates, LLC

Turning Knowledge into Action for Socially Responsible Investors

SRI and CSR: Assessing Risk, Uncovering Opportunities

David Gardiner & Associates (DGA) informs your critical investment decisions with timely, tailored analysis that allows you to assess risk and uncover opportunities in your social investment portfolio.

More than ever, socially responsible investors are challenged to look beyond corporate rhetoric to find the operational substance to corporate social responsibility (CSR). DGA goes a step further than standardized questionnaires and rating systems, instead utilizing a highly customized methodology of personal interviews, third-party rating systems, and qualitative analysis.

DGA provides strategic research, analysis, and guidance to institutional and individual investors who are committed to:

- 🌐 maintaining an investment portfolio of socially and environmentally sound corporations
- 🌐 actively engaging as shareholders with corporate boards and leadership to encourage and enhance ethical business practices
- 🌐 increasing shareholder value by means of thorough, long-range stakeholder protection

Knowledge + Insight + Analysis = Sound Decision Making

DGA brings together a distinctive team of consultants with expertise in business management, governmental regulation, environmental and energy risk assessment, and corporate responsibility.

Our team brings a fresh approach to SRI research and analysis. We look at your project with new eyes and a wealth of expertise that allows us to assess corporate deficiencies and uncover hidden strengths.

Meeting Your Needs: DGA's Customized Products and Services

DGA specializes in tailoring our services to meet your needs. Communication is essential to our success. We will begin our relationship with an interview of your organization's leadership and key staff to learn about your approach and culture, and in every project, DGA will incorporate your feedback into the final product.

Examples of our services include:

🌐 Due Diligence Research and Analysis

DGA provides independent research and analysis to help you separate rhetoric from truth when assessing a corporation's record on environmental and social issues. We dig beneath the surface of company *policy* to examine the corporation's integration of CSR into their *operating procedures* and *corporate culture*, and we look beyond standard CSR indicators to reward innovative approaches or uncover high-risk activities.

🌐 Issue Analysis

As the fields of CSR and SRI mature and develop, issues surface that warrant further investigation. DGA provides analysis of critical concerns – such as executive compensation, or climate change risk – examining threats and opportunities cross-portfolio, intra-industry, or between-sectors.

🌐 Investor Dialogue

We work with our clients to protect shareholder value and decrease long-term risk posed by socially and environmentally destructive behaviors. Investors are positioned to engage in constructive conversation with corporations to facilitate sustainable business practices from the inside-out. We provide a range of services, from fact-finding, to designing CSR recommendations, to facilitating conversations.

🌐 Proxy Campaigns or Shareholder Resolution Campaigns

At times, productive dialogue is unachievable. DGA can help you make your case and build support from other investors, as well as analyze issues brought forward by other shareholders.